PTO/SB/05 (08-03) Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Attorney Docket No. UTILITY Bruce B. Thomas PATENT APPLICATION First Inventor TRANSMITTAL Secondary Loss Expense Covevage Title (Only for new nonprovisional applications under 37 CFR 1.53(b)) 101250125 A3 Express Mail Label No. 27 Mail Stop Patent Application Commissioner for Patents **APPLICATION ELEMENTS** ADDRESS TO: P.O. Box 1450 See MPEP chapter 600 concerning utility patent application contents. Alexandria VA 22313-1450 Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or 1. 🗹 (Submit an original and a duplicate for fee processing) Computer Program (Appendix) 2. 🗹 Applicant claims small entity status. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) 3. 🗹 Specification Computer Readable Form (CRF) Total Pages (preferred arrangement set forth below) - Descriptive title of the invention Specification Sequence Listing on: Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D CD-ROM or CD-R (2 copies); or - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention ii. Paper - Brief Summary of the Invention
- Brief Description of the Drawings (if filed) c. Statements verifying identity of above copies - Detailed Description ACCOMPANYING APPLICATION PARTS - Claim(s) - Abstract of the Disclosure 9. Assignment Papers (cover sheet & document(s)) 4. Drawing(s) (35 U.S.C. 113) [Total Sheets _ 10. 37 CFR 3.73(b) Statement Power of (when there is an assignee) Attorney 11. Total Sheets 5. Oath or Declaration English Translation Document (if applicable) a. Newly executed (original or copy) Copies of IDS Information Disclosure 12. F Statement (IDS)/PTO-1449 Citations Copy from a prior application (37 CFR 1.63(d)) 13. Preliminary Amendment (for continuation/divisional with Box 18 completed) Return Receipt Postcard (MPEP 503) 14. (Should be specifically itemized) **DELETION OF INVENTOR(S)** 15. 🔲 Certified Copy of Priority Document(s) Signed statement attached deleting inventor(s) (if foreign priority is claimed)
Nonpublication Request under 35 U.S.C. 122 name in the prior application, see 37 CFR 16. 🗹 1.63(d)(2) and 1.33(b). (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. Application Data Sheet. See 37 CFR 1.76 17. 🗹 Other: Request Under MPEP Section 707.07(j) 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: Divisional Continuation-in-part (CIP) Continuation of prior application No.: Prior application information: Examiner Art Unit:

For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS

OR Correspondence address below Customer Number: Name **Bruce Bradford Thomas** 145 Lake Avenue Address State CT City Zip Code Trumbull. 06611 Telephone | 203-445-0830 Fax Country USA Name (Print/Type) Bruce Bradford Thomas Registration No. (Attorney/Agent)

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mall Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

19972 U.S. 10/64707

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. S	See 37 CFR 1.27
TOTAL AMOUNT OF PAYMENT	(\$)

Complete if Kn wn			
Application Number			
Filing Date			
First Named Inventor	Bruce Bradford Thomas		
Examiner Name			
Art Unit			
Attorney Docket No.			

METHOD OF PAYMENT (check all that apply)				FE	E CALCULATION (continued)	
Check Credit card Money Other None		DDITI				
Deposit Account:		Entity			•	
Deposit	Fee Code		Fee Code	Fee (\$)	Fee Description	Fee Paid
Account Number	1051	130	2051		Surcharge - late filing fee or oath	Fee Falu
Deposit	1052	50	2052	25	Surcharge - late provisional filing fee or	
Account Name					cover sheet	
The Director is authorized to: (check all that apply)	1053	130	1053		Non-English specification	
Charge fee(s) indicated below Credit any overpayments		2,520		•	For filing a request for ex parte reexamination	
Charge any additional fee(s) during the pendency of this application	1804	920*	1804	920"	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee	1805	1,840*	1805	1,840*	Requesting publication of SIR after	
to the above-identified deposit account.	1051	440	2251	55	Examiner action	
FEE CALCULATION	1251 1252	110	2252	205	Extension for reply within first month Extension for reply within second month	
1. BASIC FILING FEE	1252	410 930	2252		• •	
Large Entity Small Entity Fee Fee Fee Fee Description Fee Paid			2253		Extension for reply within third month	
Code (\$) Code (\$)		1,450		725	Extension for reply within fourth month	
1001 750 2001 375 Utility filing fee 375.00		1,970	2255	985	Extension for reply within fifth month	
1002 330 2002 165 Design filing fee	1401	320	2401		Notice of Appeal	
1003 520 2003 260 Plant filing fee	1402	320	2402	160	Filing a brief in support of an appeal	
1004 750 2004 375 Reissue filing fee	1403	280	2403	140	Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$)	1452	110	2452	55	Petition to revive - unavoidable	
	1453	1,300	2453	650	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,300	2501	650	Utility issue fee (or reissue)	
Extra Claims below Fee Paid	1502	470	2502	235	Design issue fee	
Total Claims 20** = X = X = X =	1503	630	2503	315	Plant issue fee	
Claims 4 - 3** = 1 X 42 742	1460	130	1460	130	Petitions to the Commissioner	
Multiple Dependent =	1807	50	1807	7 50	Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity	1806	180	1806	3 180	Submission of Information Disclosure Stmt	
Fee Fee Fee Fee <u>Fee Description</u> Code (\$)	8021	40	8021	ł 40	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20	1809	750	2809	375	Filing a submission after final rejection	
1201 84 2201 42 Independent claims in excess of 3				_	(37 ČFR 1.129(a))	
1203 280 2203 140 Multiple dependent claim, if not paid	1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1204 84 2204 42 ** Reissue independent claims over original patent	1801	750	2801	375	Request for Continued Examination (RCE)]
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination of a design application	
- 40 00 C			Other fee (specify)			
SUBTOTAL (2) ((\$) 42.00 **or number previously paid, if greater; For Reissues, see above	*Redu	iced by	Basic F	Filing F	ee Paid SUBTOTAL (3) (\$)	

SUBMITTED BY			(Complete ((if applicable))
Name (Print/Type)	Bruce Bradford Thomas	Registration No. (Attomey/Agent)	Telephone	203-445-0830
Signature	b.m) of the		Date	8/21/03

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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NONPUBLICATION REQUEST
UNDER
35 U.S.C. 122(b)(2)(B)(i)

First N	Named Inventor	Bruce	Bradfor	d Thomas	`
Title	Se condav	y Loss	Expouse	coveraje	
Attom	ey Docket Numbe				_

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Date Date Signature

Telephone number

Revee Brad Ford Thomas

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

In the United States Patent and Trademark Office

Serial Number:	
Appn. Filed:	
Applicants: Bruce Bradford Thomas and Lester War	e Preston, III
Appn. Title: Secondary Loss Expense Coverage	
	Mailed: August 22, 2003 At: Trumbull, CT

Request Under MPEP 707.07(j)

Commissioner for Patents Washington, D.C. 20231

Sir:

The undersigned, pro se applicants, respectfully request that if the Examiner finds patentable subject matter disclosed in this application, but feels that Applicants' present claims are not entirely suitable, the Examiner draft one or more allowable claims for the Applicants'.

Respectfully,

Bruce Bradford Thomas 145 Lake Avenue Trumbull, CT 06611

have & Then

203-445-0830

Lester Ware Preston, III

19 Chester Road Darien, CT 06820

203-356-1583